



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Reply, the Application of:

THOMAS W. MOSSBERG

Application No.: 09/811,081

Filed: March 16, 2001

For: **HOLOGRAPHIC SPECTRAL FILTER**

Art Group: 2872

Examiner: Amari, Alessandro V.

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NOV 14 2002
TC 2800 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

11/13/2002 HABDELRI 00000076 09811081
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The references were cited in a Search Report dated August 22, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



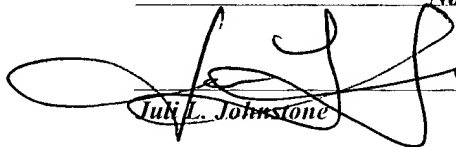
Gregory D. Caldwell, Reg. No. 39,926

Date: November 07, 2002

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Los Angeles, California 90025
(503) 684-6200

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/811,081
Filing Date	March 16, 2001
First Named Inventor	Thomas W. Mossberg
Group Art Unit	2872
Examiner Name	Amari, Alessandro V.
Attorney Docket Number	5455P001

Total Number of Pages in This Submission 7

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
 - ☒ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

-Copies of 9 cited references
-Check for \$180.00 for fees
-Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 7, 2002

CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name Juli L. Johnstone

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Date

November 7, 2002

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FREE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Application Number	09/811,081
TOTAL AMOUNT OF PAYMENT (\$) 180.00		Filing Date	March 16, 2001
		First Named Inventor	Thomas W. Mossberg
		Examiner Name	Amari, Alessandro V.
		Group/Art Unit	2872
		Attorney Docket No.	5455P001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account		3. ADDITIONAL FEES																																																																																																																									
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926
Signature		Telephone	(503) 684-6200
		Date	11/07/02

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